



UNIVERSITY OF SAINT JOSEPH

C O N N E C T I C U T

Annual Report to the CT General Assembly, Higher Education Committee
Pursuant to Sec. 10a-55m(f). Sexual assault, stalking and intimate partner violence policies.
Affirmative consent. Prevention and awareness programming and campaigns. Anonymous
reporting and disclosure. Notification of victim's rights and options. Report.

October 1, 2020

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Introduction

The University of Saint Joseph has prepared and submitted the following annual report to the Connecticut General Assembly Higher Education Committee in accordance with Connecticut General Statute, 10a-55m Sexual assault, stalking and intimate partner violence policies. Affirmative consent. Prevention and awareness programming and campaigns. Anonymous reporting and disclosure. Notification of victim's rights and options. Report.

Mission Statement

The University of Saint Joseph, founded by the Sisters of Mercy in the Roman Catholic tradition, provides a rigorous liberal arts and professional education for a diverse student population while maintaining a strong commitment to developing the potential of women. The University is a community that promotes the growth of the whole person in a caring environment that encourages strong ethical values, personal integrity, and a sense of responsibility to the needs of society.

Resource Team

Since amendments to CGS 10a-55m became effective on July 1, 2014, University of Saint Joseph has taken proactive steps to ensure compliance. The USJ Resource Team provides leadership and direction in the University's efforts to develop and implement strategies for complying with federal and state requirements regarding sexual violence, dating violence, stalking and intimate partner violence. During the past 12 – month period, meetings were held on the following dates, **May 23, 2019 and November 11, 2019**. Member participation is included in this report Appendix A:

- Resource Team Membership for 2019 Meetings.

Memorandums of Understanding

The University of Saint Joseph has Memorandums of Understanding with New Britain YWCA Sexual Assault Center and Interval House of Hartford. The Memorandums of Understanding with both organizations provide educational services, advocacy, guidance and support for students and employees who have experienced sexual and/or intimate partner violence. Additionally, both the New Britain YWCA and Interval House have assigned staff to attend our Resource meetings and staff informational tables targeted to students periodically throughout the year. Original MOU's were signed in 2014 and documented in the 2015 report.

Anonymous Reporting Options

The University of Saint Joseph has two anonymous reporting options. One Anonymous Reporting Tip Line (860-231-5742) that is monitored by Public Safety. The second is through EthicsPoint, Inc. (855-297-5106 toll free) that is monitored by the Director of Human Resources/Deputy Title IX Coordinator.

Reportable Policies and Data

In accordance with PA 14-11, Section 2, 10a-55m (f) 1-8 is organized into 3 sections:

A. Policies

1. Sexual Misconduct Policy & Rights of Parties

The University of Saint Joseph maintains an on record sexual misconduct policy, a commitment to investigation, support, and protection of involved parties. This policy is included in this document Appendix B relating to Section 10a-55m (f) (1 & 2):

- 2018-2019 Gender-Based Discrimination and Sexual Misconduct 2018 and Rights of Parties, Revised July 10, 2018, August 27 2018, October 16, 2018. Also available at https://my.usj.edu/ics/Sexual_Misconduct/.

The University of Saint Joseph distributes information regarding the University's Title IX policy as well as Victim's Rights through:

- Yearly email.
- University of Saint Joseph Student Handbook.
- Information and links to policy listed on USJ websites, including Public Safety, Health Services, Counseling and Wellness Center and Title IX.
- Full policy located on www.usj.edu website.
- Posters, brochures, and one-page handouts.

2. Concise Written Notification to Student and Employees

The University maintains a Your Rights and Options After a Title IX incident brochure to easily explain rights and options for reporting and resources. This is found in Appendix B.

3. Employee Policies on Sexual Misconduct & Title IX, Harassment & Discrimination, and Dating

Human Resources distributed, in a yearly email, their Annual Notification of Employee Policies including the Policy on Harassment and Discrimination, Policy on Sexual Misconduct and Title IX, and Policy on Dating. These policies are included in this document Appendices C-E relating to Section 10a-55m (f) (1 & 2):

- Excerpt from University Employee Handbook of policies Sexual Misconduct and Title IX, Harassment and Discrimination, and Dating.

B. Sexual Assault, Stalking and Intimate Partner Violence Prevention, Awareness, and Risk Reduction Programs and Campaigns

Throughout the year, University of Saint Joseph provides programs and campaigns on the topics of sexual assault, stalking and intimate partner violence prevention, awareness, and risk reduction targeted to the University community, students, and employees. Attached is the following Appendix F relating to Section 10a-55m (f) (3 & 4):

- A chart describing the list of programs and campaigns facilitated at University of Saint Joseph during the calendar year 2018.
- A list of trainings provided to employees

C. Incidents Reported to the University and Outcomes

The annual Uniform Campus Crime Report was prepared in accordance with Connecticut General Statutes Public Act No. 14-11. The report records those crimes committed in the immediately preceding calendar year (2018) within the geographical limits of the property owned or under the control of the University of Saint Joseph located at 1678 Asylum Avenue in West Hartford. The Uniform Campus Crime Report is located at <https://www.usj.edu/student-life/public-safety/>.

Attached is the following Appendix E relating to Section 10a-55m (f) (5, 6, 7, & 8) with statistics from the University of Saint Joseph 2018 annual Uniform Campus Crime Report and the Title IX coordinator:

- A chart listing number of incidences of sexual assault, intimate partner violence, and stalking on campus. This chart also includes the number of confidential reports/anonymous disclosures, disciplinary cases, and their final outcomes.

Department of Public Safety Training

Public Safety Officers have completed trauma-informed responses to sexual assault, title IX training sessions November 12 and 14, 2019, they are listed in Appendix F.

Appendix A

University of Saint Joseph Resource Team Members 2019

Spring 2019

Title IX Coordinators

Title IX Coordinator
Deborah Spencer
Director of Human Resources
860.231.5390 - dspencer@usj.edu

Deputy Coordinator
Jackie Piscitelli
Associate Athletic Director
860.231.5423 - jpiscitelli@usj.edu

USJ Members

Ken Bedini
Interim Vice President for Student Affairs
860.231.5430 – kbedini@usj.edu

Elizabeth Cocola
Director of Health Services
860.231.5272 - ecocola@usj.edu

Meredith Yuhas
Director of Counseling and Wellness Center
860.231.5366 - myuhas@usj.edu

Andrea Leschak
Assistant Professor, School of Pharmacy
860.231.6884 - aleschak@usj.edu

Sarah Quish
Counselor, Counseling and Wellness Center
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Graduate Student, Masters in School Counseling
Title IX Graduate Assistant -mficca@usj.edu

Kristy Santos
Director of Student Affairs
860.231.5445 – krsantos@usj.edu

Community Members

Amanda Carrington, Campus Advocate, YWCA/SACS
860.225.4681 ext.257 - acarrington@ywcaneubritain.org

Joanna Flanagan, Community Educator, YWCA/SACS
860.225.4681 ext.203 – jflanagan@ywcaneubritain.org

CURRENT RESOURCE TEAM MEMBERS FALL 2019

Title IX Coordinators

Rayna Dyton-White
Title IX Coordinator
860.231.5487 – rdytonwhite@usj.edu

Deborah Spencer
Deputy Title IX Coordinator
Director of Human Resources
860.231.5390 -
dspencer@usj.edu

USJ Members

Ken Bedini
Interim Vice President for Student Affairs
860.231.5430 – kbedini@usj.edu

Meredith Yuhas
Director of Counseling and Wellness Center
860.231.5366 - myuhas@usj.edu

Sarah Quish
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860.231.5440 - squish@usj.edu

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Director of Public Safety
860.231.5396 - dmcbride@usj.edu

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Director of Health Services
860.231.5272 - tflink@usj.edu

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School of Pharmacy
860.231.5869 - askyers@usj.edu

Nancy Cabelus
Assistant Professor of Nursing
860.231.5613 – ncabelus@usj.edu

Samantha Kendall (STUDENT REP)
Graduate Student Marriage and Family Therapy
skendall@usj.edu

Paul LoVoi
Gengras Center
860.231.5767 – plovoi@usj.edu

Community Members

Amanda Carrington, Campus Advocate, YWCA/SACS
860.225.4681 ext.257 - acarrington@ywcanewbritain.org

Sergeant Kerry Cloukey, West Hartford Police Department
(860) 570-8999 x8286 kcloukey@westhartford.gov

David Rivera, Community Relations Coordinator, Interval House
860.645.4034 ext. 301 – david.rivera@intervalhousect.org

Vicki Melchiorre, Senior Assistant State's Attorney Office, Hartford
860.566.3190 -

Appendix B

University of Saint Joseph's Title IX Policy Against Gender-Based Discrimination and Sexual Misconduct

University of Saint Joseph is committed to providing an environment free from gender-based discrimination and harassment. University of Saint Joseph is dedicated to a healthy and safe learning and living environment in which members of the community can realize their full potential free from all forms of gender or sex discrimination and sexual misconduct including interpersonal violence and stalking.

As such, the university complies with Title IX of the Education Amendments of 1972, which prohibits discrimination on the basis of sex in educational programs or activities that receive federal financial assistance. University of Saint Joseph strives to ensure that no student or employee is excluded from participation in or denied the benefits of any university program or activity on the basis of sex. Also, in regards to athletics, the university is committed to the equitable treatment of male and female student-athletes. This includes, but is not limited to, equitable allocation of athletic participation opportunities, scholarships and benefits.

Upon receiving a report, the university will respond promptly, equitably and thoroughly. In addition, the university will take steps to prevent the recurrence of the misconduct and correct its effects, if appropriate. When a respondent is found to have violated this policy, serious sanctions will be used to reasonably ensure that such actions are not repeated and steps will be taken to correct any discriminatory effects to the extent possible. There is no time limitation on the filing of a grievance under this policy. However, a delay in reporting could affect the university's ability to gather information needed to determine whether a person is responsible for sexual misconduct or gender-based discrimination. If the responding party is no longer subject to the university's jurisdiction, the ability to investigate, respond, and provide remedies may be limited.

This policy is intended to define community expectations and to establish a mechanism for determining when those expectations have been violated. Any University of Saint Joseph community member who has questions about the policy or the grievance procedures should seek clarification from the university's Title IX Office.

The university's sex/gender harassment, discrimination and misconduct policies are not meant to inhibit or prohibit educational content or discussions inside or outside of the classroom that include controversial or sensitive subject matters protected by academic freedom. Academic freedom extends to topics that are pedagogically appropriate and germane to the subject matter of courses or that touch on academic exploration of matters of public concern.

Terminology:

For purposes of this policy, the following terminology will be used:

Students: Any student of the University of Saint Joseph, including undergraduate and graduate students, students at The Gengras Center School and The School for Young Children, and students attending University-sponsored camps or similar programs.

Employees: Any individual receiving a paycheck from the University, regardless of employment classification.

Affiliates: Individuals or organizations who may interact with USJ Community Members in a University-sanctioned role, including but not limited to volunteers, interns, and vendors/contractors.

Community Members: All students, employees (faculty and staff), and volunteers of the University, including The Gengras Center School and The School for Young Children.

Title IX Office: The Title IX Coordinator and Title IX Deputy Coordinators.

I. Notice of the Title IX Coordinator

Rayna Dyton-White, Director of Diversity & Inclusion, serves as the university Title IX Coordinator and manages the university's compliance of Title IX. The Title IX Office is the resource available to anyone seeking additional information or wishing to file a complaint. When a USJ Community Member, Affiliate, or other participant in the university's programs and activities feels that they have been subjected to discrimination based on sex in any university program or activity, including but not limited to being subjected to sexual harassment and sexual assault, they may contact the Title IX Office. USJ Community Members may utilize the Title IX grievance procedures to bring concerns forward for the purpose of obtaining a prompt and equitable resolution.

The Title IX policy is intended to define university standards, scope, and to outline the investigation and grievance processes when those standards are violated.

The University Title IX Coordinator is:
Rayna Dyton-White, Director of Diversity & Inclusion
Lourdes Hall
rdytonwhite@usj.edu
860.231.5487

The University Title IX Deputy Coordinators are:
Deborah Spencer, Director of Human Resources
Mercy Hall
dspencer@usj.edu
860.231.5390

II. Statement Regarding Complaint and Grievance Procedures

The procedures in this policy are designed to provide a process for both individuals who report discrimination, and for USJ Community Members who are accused of sexual misconduct or discriminatory behavior. Any University of Saint Joseph Community Members who believe, they have been subjected to discrimination based upon sex or gender identity in any university program or activity, or that the university has failed to meet its Title IX obligations regarding equity in athletics, may bring such concerns to the attention of the university's Title IX Office. Any University of Saint Joseph Community Members who believe they have been subjected to sexual misconduct may make a report to the Title IX office, the Title IX office will provide a prompt, equitable resolution to such complaints.

The U.S. Department of Education, Office for Civil Rights (OCR) is the federal agency charged with enforcing compliance with Title IX. Anyone has the right to contact them directly.
Information regarding OCR can be found at:

Local OCR: *Boston Office*

U.S. Department of Education,
8th Floor, 5 Post Office Square,
Boston, MA 02109-3921

Telephone: 617.289.0111, Facsimile: 617.289.0150

Email: OCR.Boston@ed.gov

Office for Civil Rights, 400 Maryland Avenue, SW, Washington, D.C. 20202-1100 Customer Service

Hotline: 800-421-3481 • Facsimile: 202-453-6012 • TDD: 877-521-2172

Email: ocr@ed.gov • Website: ed.gov/ocr

III. Reporting and Confidentiality

A. Options for Reporting

Internal – Not Anonymous

- a. Report directly to the Title IX Coordinator or Title IX deputy (contact information above);
- b. Report online, using the [Tell Someone](https://my.usj.edu/ics/Tell_Somebody_Report/) report
https://my.usj.edu/ics/Tell_Somebody_Report/

Internal - Anonymous

- a. Report using the Public Safety Anonymous Tipline 860.231.5742.
- b. Report using EthicsPoint - 855.297.5106 or www.ethicspoint.com.

External Law Enforcement

To contact a local police department, contact Public Safety for assistance (24 hours a day/7 days a week) at 860.231.5222 or call:

- a. West Hartford Police Department – 860.523.5203
- b. Hartford Police Department – 860.757.4000
- c. Bloomfield Police Department — 860.242.5501
- d. Connecticut State Police, Troop H (Hartford) - 800.968.0664 or 860.534.1000

Complaints of Sexual Misconduct against a Faculty Member, Staff Member or Administrator

Within the university, reports of gender-based misconduct by an employee or affiliate should be filed with the Office of Human Resources. Reports regarding employees or affiliates can also be made via the EthicsPoint reporting option 855.297.5106 or www.ethicspoint.com. For procedure, see Employee Handbook. If the alleged sexual misconduct impacts a University of Saint Joseph student, Responsible Employees must also report the information to the Title IX Coordinator, and such report may not be anonymous.

B. Confidentiality

Reports made anonymously or by third parties may or may not initiate grievance procedures.

However, Title IX requires the university to investigate all incidents about which the university knows

or has reason to know to protect the health and safety of the university community. The university will address issues raised anonymously or by third parties if sufficient information is provided by the reporter, and may initiate an investigation. Also, the university will undertake an investigation where appropriate even in cases where the alleged victim and/or complainant choose not to cooperate or participate.

When weighing a complainant's request for confidentiality, to end an investigation, and/or to not seek disciplinary action, the university will consider factors that may include circumstances that suggest: violence, threat, pattern, predation and/or weapon; the age of the student subjected to the sexual misconduct; and whether the university possesses other means to obtain relevant evidence.

Whether the incident occurred on or off campus, community members are encouraged to report sexual assault and other incidents of harassment to local police. University of Saint Joseph Public Safety can assist community members who wish to make a report to police. Electing not to report an incident to the police will not affect the university's investigation or Title IX grievance process. If a complainant is a minor, according to Connecticut state law, the university will make a report to the appropriate law enforcement agency.

If a community member decides not to file a complaint with the university, the university encourages the community member to seek out the available medical and mental health resources listed in this policy and on our website. Community members who wish to make a complaint at a later date may contact a university Title IX Office at any time.

C. Responsible Employees and Reporting

University of Saint Joseph encourages all employees and volunteers to promptly report any incidents of sex discrimination, sexual misconduct, interpersonal violence or stalking, they may witness or become aware of. Responsible employees/volunteers are **required** to report such incidents when any University of Saint Joseph student is impacted. University of Saint Joseph defines all employees and volunteers as Responsible employees of the university; the sole exception to this statement is staff who are designated as confidential Employees. A Responsible Employee/Volunteer is required to report any incidents of sexual violence, harassment, discrimination, interpersonal violence, and stalking involving a student promptly to the university Title IX Office. Prompt reporting of such incidents makes investigation of the incident more effective and enhances the ability of the university to take action on a complaint.

The University considers staff in Health Services and the Counseling and Wellness Center to be confidential employees; as such these employees will not report Title IX related incidents to the Title IX Office. Confidential employees will submit anonymous statistical information to the appropriate university office for Clery Act purposes, unless they believe it would cause undue harm to a specific client.

When reporting sexual harassment, discrimination, sexual assault, interpersonal violence or stalking the Title IX Office will guide you with regard to how much detail is needed in an initial report. While respecting the complainant, no employee/volunteer (other than Health Services and Counseling and Wellness Center staff) can or should promise complete confidentiality.

D. Confidential Resources

Confidential on-campus resources are available who can share options and advice without any obligation to inform other university staff members unless requested. Health Services and the Counseling and Wellness Center are the only confidential resources on the University of Saint Joseph

campus. In addition, there are several confidential off-campus resources. Faculty members and other university staff are not confidential resources and are required to contact the university Title IX Office.

All of the below-listed resources will maintain confidentiality except in extreme cases of immediacy of threat or danger or abuse of a minor.

University of Saint Joseph Confidential Resources

- Health Services and the Counseling and Wellness Center - 860.231.5530

Off-Campus Confidential Resources

- Connecticut Alliance to End Sexual Violence 24-hour confidential hotline - 1.888.999.5545 and (Español) - 1.888.568.8332
- Rape, Abuse and Incest National Network crisis hotline — 1-800-656-HOPE
- Rape, Abuse and Incest National Network online hotline — ohl.rainn.org/online
- Connecticut Coalition Against Domestic Violence — 24-hour confidential hotline 1.888.774.2900

E. Jurisdiction

This policy shall apply to conduct that occurs on university-owned or leased property, at university-sponsored events, as well as University-sponsored online interactions. Students shall be responsible for their conduct from the time of application for admission through the awarding of a degree, as well as during periods between terms of actual enrollment, study abroad and leaves of absence or suspension. The University maintains the authority to adjudicate alleged violations of the Student Code of Conduct that are related to the same incident under review, though may not be directly related to gender-based conduct. Employees and volunteers are responsible for their conduct while engaging in conduct for the benefit of, or at the direction of the University. The University maintains the authority to adjudicate alleged violations of the Employee Handbook that are related to the same incident under review, though may not be directly related to gender-based conduct

IV. General Policy & Definitions

Members of the university community, including guests, visitors, and volunteers have a right to be free from sexual harassment, violence, and gender-based harassment. When an allegation of misconduct is investigated, and a responding community member is found to have violated this policy, sanctions may be used in an effort to ensure that such actions are not repeated.

Any attempts to violate this policy are considered equivalent to having committed the violation itself. The use of alcohol or other drugs will not be accepted as a defense or mitigating factor to a violation of this policy. These policies apply regardless of the complainant's or respondent's sexual orientation, sex, gender identity, age, race, nationality, religion or ability. Harassment or discrimination based upon an individual's sexual orientation or gender identity is considered gender-based and subject to this policy. Reasonable accommodations will be provided, as needed, to permit students and employees with disabilities to utilize the procedures set forth in this policy.

Any community member who believes they have been subject to sexual assault, sexual harassment or other sexual misconduct or has witnessed or learned of such an incident is encouraged to contact the

university Title IX Office directly. The Title IX Office ensures that timely reports are taken and responded to with appropriate action which may include investigation, possible interim measures, resolution and that those involved have access to other resources including but not limited to medical, mental health, and law enforcement.

All reports against students, faculty, staff, vendors, visitors, and individuals not affiliated with University of Saint Joseph are handled by the Title IX Coordinator:

The University Title IX Coordinator is:
Rayna Dyton-White, Director of Diversity & Inclusion
Lourdes Hall
rdytonwhite@usj.edu
860.231.5487

In situations in which a complaint is filed against a community member who embodies more than one status at the university (e.g., community member is both a student and an employee), the university Title IX Office has the authority to appoint one or more investigators (possibly from different areas of the institution) and determine the grievance process for the reported incident (student, employee, volunteer). The selected grievance process shall have the jurisdiction to make final determinations affecting all individual statuses at the university.

A. Definitions and Scope of Sexual Misconduct

University of Saint Joseph prohibits any form of sexual misconduct, including but not limited to acts of sexual harassment, nonconsensual sexual contact or intercourse, and other forms of sexual exploitation.

Sexual Misconduct is a broad term covering a range of behaviors including sexual assault, sexual harassment, intimate partner violence, stalking, voyeurism, sexual exploitation, and any other conduct of a sexual nature that is nonconsensual, or has the purpose or effect of threatening, intimidating or coercing a person. Sexual misconduct may involve nonconsensual sexual contact, but it is not a necessary component. All individuals are protected from sexual misconduct and sexual misconduct is prohibited regardless of the gender of the harasser.

Sexual harassment is defined as unwelcome gender-based verbal or physical conduct that is:

- sufficiently severe, persistent or pervasive that it has the effect of unreasonably interfering with, limiting or depriving someone of the ability to participate in or benefit from the university's educational program, activities and/or employment, and is;
- based on power (quid pro quo), the creation of a hostile environment, or retaliation;
- effective October 1, 2019, the definition of sexual harassment shall also include a failure to provide sexual harassment training or post notices as required.

Examples of sexual harassment include, but are not limited to:

- subtle or persistent pressure for sexual activity;
- unnecessary touching, pinching or brushing against a person;
- requesting or demanding sexual favors concerning employment, academic activities, or other university activities;
- unwelcome communications (verbal, written, electronic, etc.) of a sexual nature;

- unwelcome conduct based on a person's perceived sexual orientation, gender identity or expression, or gender based stereotypes
- failure to accept the termination of a consensual relationship with repeated and persistent requests and behavior.

Nonconsensual sexual contact (sexual assault) includes any intentional touching of a sexual nature, however slight, whether clothed or unclothed, with any object or body part by a person against another person that is without consent and/or by force.

Examples of nonconsensual sexual contact include, but are not limited to:

- intentional contact or touching with the breasts, buttocks, groin, or genitals;
- making another person touch someone or themselves in a sexual manner;
- any intentional bodily contact in a sexual manner.

Nonconsensual sexual intercourse (rape) includes any penetration, however slight, with any object or body part by a person against other person that is without consent and/or by force.

Examples of nonconsensual sexual intercourse include, but are not limited to:

- vaginal penetration by a penis, object, tongue or finger;
- anal penetration by a penis, object, tongue or finger;
- oral copulation (mouth to genital contact or genital to mouth contact).

Sexual exploitation includes but is not limited to:

- invasion of sexual privacy or voyeurism (in-person or through audio or video recording);
- distribution or sharing of images or recordings of sexual acts without the consent of those portrayed;
- knowingly transmitting a sexually transmitted infection;
- exposing of a person's body or genitals;
- prostituting or soliciting another community member.

Consent is an active, knowing, and voluntary exchange of affirmative words and/or actions, which indicate a willingness to participate in a particular sexual activity. Consent must be freely and actively given. It is the responsibility of the initiator to obtain clear and affirmative responses at each stage of sexual involvement. The lack of a negative response is not consent. A person who is incapacitated by alcohol and/or drugs, whether voluntarily or involuntarily consumed, may not give consent. Consent to one form of sexual activity, or a past relationship, does not imply consent to future sexual activity.

Incapacitation is a state where someone cannot make rational, reasonable decisions due to a lack of capacity to give knowing consent (e.g., to understand the "who, what, when, where, why, and how" of the sexual interaction).

- Sexual activity with someone who is, or based on circumstances should reasonably have been known to be, mentally or physically incapacitated (i.e., by alcohol or other drug use, unconsciousness or blackout) constitutes a violation of this policy.
- A person whose incapacity results from mental disability, sleep, involuntary physical restraint, or from the consumption (voluntary or otherwise) of incapacitating drugs cannot give consent.
- In order to give consent, a person must be of the legal age of consent. Under most

circumstances, the age of consent in the state of Connecticut is sixteen. See Connecticut General Statutes § 46b-120, § 46b-127, § 46b-133d, § 53a-70, § 53a-71, and § 54-76b.

- Alcohol-related incapacity results from a level of alcohol ingestion that is more severe than impairment, being under the influence, drunkenness, or intoxication.

Evidence of incapacity may be detected by physical cues, e.g., slurred speech, bloodshot eyes, the odor of alcohol on a person's breath or clothing, inability to maintain balance, vomiting, unusual or irrational behavior, and unconsciousness. Context is important in helping to determine incapacitation. Any of these particular cues alone do not necessarily indicate incapacity.

Force is the use of physical violence and/or imposing on someone physically to gain sexual access. Force also includes threats, intimidation, and coercion that overcomes resistance or produces consent.

Coercion is unreasonable pressure for sexual activity. Coercion is the use of emotional manipulation to persuade someone to do something they may not want to do, such as being sexual or performing certain sexual acts. Being coerced into having sex or performing sexual acts does not constitute consent and is considered sexual misconduct.

Intimate Partner Violence

Relationship violence is a pattern of behavior in an intimate relationship that is used to establish power and control over another person through fear and intimidation. A pattern of behavior is typically determined based on the repeated use of words and/or actions and inactions in order to demean, intimidate, isolate, and/or control another person. This behavior can be verbal, emotional, and/or physical. Examples include, but are not limited to: striking another person (slapping, punching, etc.), property damage, reckless behavior, name calling and/or insults, public humiliation, harassment directed toward friends and acquaintances, and verbal and/or physical threats.

Stalking involves any behaviors or activities occurring on more than one occasion that collectively instill fear and/or threaten a person's safety, mental health, and/or physical health. Such behaviors or activities may include, but are not limited to nonconsensual communications (i.e., face-to-face, telephone, email, social media), threatening or obscene gestures, surveillance, or showing up outside the targeted individual's classroom, residence, or workplace.

Other Forms of Misconduct

Other forms of misconduct, when gender-based, will fall under this policy. These include, but are not limited to:

- conduct that interferes with the rights of others and/or demonstrates disregard for the university community;
- assisting another person in committing a violation of this policy;
- personal harassment and/or verbal abuse;
- the threat of physical harm, physical abuse, mental distress or injury;
- actions that inflict physical harm, physical abuse, mental distress or injury;
- slanderous, false or malicious statement(s) about a person or defamation of character;
- endangerment of the health and safety of others;
- hazing;
- damage and/or vandalism to another's property.

B. When an Incident Occurs

Bystander Intervention is defined by the State of Connecticut (CGA § 10a-55m) as the act of challenging the social norms that support, condone, or permit sexual assault, stalking, and intimate partner violence. Students are encouraged to undertake bystander intervention actions when they are able to do so in a manner that is safe for all concerned. Examples of bystander intervention include: asking if someone is alright; distracting one or both people involved; encouraging someone to seek help from appropriate resources, such as Health Services or the Counseling and Wellness Center. Bystander intervention techniques can be used to address and prevent many concerns, including sexual assault, intimate partner violence, alcohol poisoning, hazing, suicide, and bias.

Care after an Incident

While there is no one right way to get help, below are some suggested steps you can take following an experience of sexual assault, intimate partner violence, or stalking.

a. Immediate Steps

- i. Get away from the attacker. Go to a safe place (open office, friend's room, RA room, etc.).
- ii. Call someone you trust. A friend, family member or victim advocate are all good resources. You do not have to go through this alone.
- iii. Seek medical attention. For an emergency requiring an immediate medical or police response, call 911. On campus call Public Safety 860.231.5222; off campus call 911 or go to your nearest emergency room. You should seek treatment from a hospital that participates in the SAFE program and has a SANE (Sexual Assault Nurse Examiner). A medical provider can check and treat you for physical injury, sexually transmitted infections, and pregnancy.

Local Hospitals

Saint Francis Hospital
114 Woodland Street, Hartford, CT 06105
860.714.4000

Hartford Hospital
80 Seymour Street, Hartford, CT 06012
860.545.5000

- iv. Preserve Evidence. After sexual violence, do not shower until you have considered whether to have a no-cost sexual assault forensic exam at a hospital. If you do want a sexual assault forensic exam, you should go to a hospital within 120 hours of the assault. You do not need to make a formal report or press charges to have a sexual assault forensic exam. Save the clothes you were wearing (unwashed) in a paper or cloth bag and bring them with you to exam. After sexual violence, relationship violence, and/or stalking, take photos of any damage or injury and keep communication records (i.e. texts, emails, and letters).

b. Next Steps

- i. Student may also seek treatment from Health Services by calling 860.231.5530 (Monday–Friday 8:30am–4:30pm), however this office does not complete the sexual assault evidence collection kit.
- ii. Seek Support. You may want to turn to an on-campus counselor at the Counseling and Wellness Center by calling 860.231.5530 (Monday–Friday 8:30am–4:30pm) or an off-campus confidential advocate for support and information. They will talk with you about your options for additional support services and reporting.
- iii. Consider making a report. You are encouraged to make a formal report of what happened to

both the Title IX Office and the local police department (West Hartford Police Department – 860.523.5203). Keep in mind there is a difference between reporting to the on-campus Title IX Office and filing criminal charges with the police department. Each report can be done independently.

- iv. Additional support. The Title IX Office can help you with changes to your housing, classes, work, student activities and more. You do not need to make a formal report or press charges to receive help.

c. Other Resources

[Connecticut Alliance to End Sexual Violence](#)

24-Hour Hot Line 1.888.999.5545

Telephone: 860.282.9881

96 Pitkin Street

East Hartford, CT 06108

info@endsexualviolencect.org

[YWCA New Britain Sexual Assault Crisis Service](#)

Hotline – Hartford: 860.547.1022

Hotline – New Britain: 860.223.1787

19 Franklin Square

New Britain, CT 06051

ywcanb.org

All services are FREE and CONFIDENTIAL and services provided include:

- Hotline Services 24-hours/day, 7 days/week
- 24-hour crisis counseling
- Information & referral
- Advocacy for children and non-abusing parent
- Short-term counseling for complainants and their family and/or friends
- Support groups and more
- Community education programs dealing with sexual assault issues
- Community prevention programs dealing with safety concerns, etc.

[Interval House](#)

24-hour Domestic Violence Hotline 860.527.5550 or 1.888.774.2900

Linea de Crisis (Spanish) 1.844.831.9200

Telephone: 860.246.9149

Hartford, CT

www.intervalhousect.org

Our Services that are provided include:

- 24-hour Hotline
- Safety planning and domestic violence counseling
- Emergency shelter
- Support groups
- Court and legal advocacy

- Programs for children
- Community education and awareness

[Connecticut Coalition Against Domestic Violence](#)

24-hour Domestic Violence Hotline 888.774.2900

912 Silas Deane Highway, Lower Level

Wethersfield, Connecticut 06109

Telephone: 860.282.7899 | Toll free (CT only): 1.800.281.1481

<http://www.ctcadv.org/>.

For counseling services, support groups, emergency shelter, and general support services, please call our 24-hour statewide, toll free domestic violence hotline at 888.774.2900 to be connected to the nearest domestic violence agency. An advocate can talk with you about your needs and help you identify shelters, programs and other resources. All services are free and confidential.

[Office of Victim Services](#)

225 Spring Street, Fourth Floor

Wethersfield, Connecticut

Telephone: 711 or 1.800.833.8134

<http://www.jud.ct.gov/crimevictim/>

The Office of Victim Services (OVS), Connecticut Judicial Branch, is the state's lead agency established to provide services to victims of violent crime. OVS contracts with non-profit and public organizations to provide services to crime victims. These services include, but are not limited to, information and referral, criminal justice support/advocacy, therapy, safety planning, group treatment/support, personal advocacy and assistance in filing applications for victim compensation.

[Office of Victim Advocate](#)

505 Hudson Street, 5th floor

Hartford, Connecticut 06106

Telephone: 860.550.6632 or Toll Free (CT) 1.888.771.3126

Email at ova.info@ct.gov or <http://www.ct.gov/ova/site/default.asp>

The Office of the Victim Advocate (OVA) is an independent state agency charged with the responsibility of protecting and enforcing the rights of crime victims throughout the state of Connecticut. The OVA monitor's services provided to crime victims by state agencies and private entities; receives and investigates victim's complaints regarding their treatment in the criminal justice process. They can intervene in court cases to advocate for a crime victim when their rights have been violated. They make recommendations to the legislature, criminal justice professionals, and victim service providers for changes in state policies and laws to benefit crime victims. They also provide public education and outreach regarding services available to victims of crime and their families.

Resources for preventable sexually transmitted diseases

[Burgdorf Health Center, Hartford STD Clinic](#)

Disease Prevention and Health Promotion

131 Coventry Street, 1st Floor

Hartford, Connecticut

860.757.4830 or 860.757.4772

No appointment necessary. Fee for service.

[Additional Services](#)

- Campus Safety provides escorts for any student crossing campus in the evening hours. Call 860.231.5222 to request this service.
- Emergency phones (blue light towers) can be found throughout campus.

C. Protective Orders

All students have the right to request assistance with contacting police or courts to obtain a protective order or temporary restraining order. Existing orders, including but not limited to, orders issued pursuant to state statute are enforced through Public Safety on this campus. Students should bring any protective orders to the Department of Public Safety. The university will assist in making any necessary accommodations. Complainants will be afforded options and will be assisted in making decisions in regard to any lawful protective or temporary restraining orders.

D. Retaliation

Retaliation against any person for alleging a violation of Title IX or for cooperating in any investigation, proceeding, or meeting relating to an alleged violation of Title IX is strictly prohibited and may result in disciplinary action, including additional interim or permanent measures. Any concerns regarding retaliation should be reported immediately to the university Title IX Office.

E. Federal Timely Warning

Parties reporting sexual misconduct should be aware that under the Clery Act, university administrators must issue timely warnings for incidents reported to them that pose a substantial threat of bodily harm or danger to members of the university community. The university will take all reasonable measures to ensure that a complainant's name and other identifying information is not disclosed, while still providing enough information for community members to make safety decisions in light of the potential danger.

F. Amnesty for Reporting Parties & Witnesses

Members of the university community may be reluctant to report incidents because of concerns that their own behavior may be a violation of university policies. Although policy violations cannot be overlooked, the university has a Good Samaritan statement and will consider the positive impact of reporting an incident when determining the appropriate response for policy violations. Community members are encouraged to consider the possible negative consequences of not reporting an incident when considering any possible personal consequences of making a report.

G. False Allegations

Deliberately false and/or malicious accusations under this policy, as opposed to allegations which, even if erroneous, are made in good faith, are a serious offense and will be subject to appropriate disciplinary action, and may also violate state criminal statutes and civil defamation laws.

H. Parental Notifications

The university's policy regarding sexual misconduct situations is consistent with the general Parental Notification policy in the Student Handbook.

I. Federal Statistical Reporting Obligations

The university has a duty to report data about various forms of sexual misconduct in accordance with the Jeanne Clery Disclosure of Campus Security Policy and Campus Crime Statistics Act (Clery Act). No personally identifiable information is disclosed, but statistical information is disclosed as part of the university's annual Campus Security Policy & Campus Crime Statistics Report. The information to be shared includes the date, location (residence hall, public property, off campus, etc.) and specific crime category. A copy of the report is located on the Public Safety website (<https://www.usj.edu/student-life/public-safety/>).

V. Response and Resolution Process

A. Privacy and Confidentiality

Reports will be investigated and may be resolved through the appropriate conduct/grievance procedures. Investigation and procedures will be conducted with regard for the privacy of those involved. Only people who have a need to know about the reported issue will be informed. Materials and information prepared or acquired under Title IX procedures will be shared only as necessary. Disclosure of such information also may be made if the university Title IX Office determines that such disclosure is necessary to protect the health, safety, or well-being of the community or any member thereof. While the university Title IX Office will take into account any requests made by a complainant for confidentiality or that a Title IX matter not be investigated, the university Title IX Office will take appropriate steps to respond to the matter consistent with requirements of Title IX and the university's obligation to the greater University of Saint Joseph community.

B. Informal Complaints and Resolution

University community members may bring concerns to the university Title IX Office on an informal basis. Where appropriate, the Title IX Office will provide counseling and advice and may attempt to facilitate an informal resolution. The university Title IX Office also is available to receive and address such allegations through the formal grievance procedures described below.

Complainants who are considering bringing a formal grievance may meet with the university Title IX Office, who will discuss the matter and describe the formal grievance process. Where appropriate and with prior notice where applicable, these grievance procedures may be modified or amended by the university.

The university Title IX Office has the authority to investigate allegations of discrimination prohibited by Title IX even absent the filing of a formal grievance, or after its subsequent withdrawal. The university has an obligation to the entire University of Saint Joseph community to take appropriate steps to prevent community members from being subjected to discrimination and sexual misconduct. As a result, there may be circumstances that will require the university Title IX Office to proceed with investigating a formal or informal grievance even if a complainant specifically requests that the matter not be pursued.

In instances where it is deemed possible and safe, the university may choose to resolve reports through informal means. If it is determined that an informal resolution may be appropriate, the Title IX Office will speak with the complainant about this option. If the complainant agrees, the Title IX Office will speak with the person alleged to have engaged in the misconduct. Resolution of an informal complaint will generally not result in sanctions; the Title IX Office may enact remedial measures at their discretion. If a satisfactory resolution is reached through this informal process, the matter will be considered completed. If these efforts are unsuccessful, the formal investigation process will commence. The informal resolution process will not be used in cases of nonconsensual sexual intercourse.

C. Formal Complaints and Resolution

1. Formal Reporting and Response

The Title IX Office will formally investigate student and employee complaints, address inquiries, and coordinate the university's compliance efforts regarding student and employee complaints and grievances. Notice of a formal complaint can be made in writing or verbally to an appropriate University employee. The Title IX Office also reserves the right to investigate any incident that may relate to this policy, and, if necessary, refer that incident to the Title IX grievance process.

The complaint should clearly describe the alleged incident, when and where it occurred, and the desired remedy, if known. Additionally, the initiator of a formal complaint should submit any supporting materials, such as text messages, voicemails, photos, and so forth.

Following receipt of a formal report, the university will take steps protect the privacy of involved parties. Information will be shared as necessary with investigators, witnesses, the responding party, and University employees/officials. The circle of people with this knowledge will be kept as tight as possible to preserve the rights and privacy of involved parties.

Following receipt of a formal report, University of Saint Joseph will initiate the investigation as soon as practicable. Should this process last longer than 60 days, the Title IX Office will communicate the reasons and expected timeline to all parties. Reasons for extending beyond 60 days could include, but are not limited to: multi-party investigations, the availability of witnesses, disability accommodations approved by the Office of Student Accessibility, and periods of university closure and breaks.

The university's resolution will not typically be altered or precluded on the grounds that civil or criminal charges involving the same incident have been filed or that charges have been dismissed or reduced. However, the university may adjust its investigation or resolution process to comply with a law enforcement request for cooperation (e.g.: to allow for criminal evidence collection)

2. Interim Remedial Action

After reviewing the complaint, the Title IX Office may enact interim remedial actions to stop the alleged harassment or discrimination, and/or to protect the safety and well-being of the individuals and university community. Interim remedial action is preliminary, and only in effect until the process is complete and a decision is rendered. Interim remedial actions may include, but

are not limited to: no-contact orders, changes in university housing accommodations, changes in academic schedule and accommodations, university housing suspensions, campus restrictions, and university suspensions. These actions may be instituted or removed at any point during the investigation process, at the discretion of the Title IX Office.

3. Preliminary Review

After reviewing the complaint, the Title IX Office will:

- determine the identity and contact information of the complainant;
- identify what policies, if any, were allegedly violated;
- contact the complainant to discuss the complaint if necessary;
- conduct an immediate review to determine if there is cause to proceed with further investigation.

If there is insufficient evidence to support a reasonable cause for the complaint, or if the behavior described in the complaint does not violate this policy, the grievance will be closed with no further action.

This process will be undertaken for every report of suspected sexual misconduct of a University student or minor by a University community member or affiliate, whether the alleged misconduct occurred on- or off-campus.

4. Investigation

If the Title IX Office determines that there is reasonable cause to pursue the complaint, a formal investigation will be initiated. Steps in the formal investigative process typically include:

- a. Identify qualified investigator/s to conduct the investigation. The Title IX Coordinator/Deputy may serve as an investigator if necessary.
- b. Commence a thorough and impartial investigation, generally to include interviews with all relevant parties and witnesses, obtaining available evidence, and identifying sources of expert information, if necessary.
- c. Give the respondent proper notice of the investigation and provide an opportunity for the respondent to provide information.
- d. Maintain communication with the complainant and the respondent on the status of the investigation and overall process.

At the conclusion of the investigation, the investigator will meet with the complainant and the respondent separately to present the findings. If supported by the investigation findings, the investigator will present the respondent with a notice of alleged violations. Parties will not be provided with written copies of the investigative report, and are prohibited from making such copies, but will have the opportunity to read the investigative report and provide a written response.

5. Advisors

All parties are entitled to an advisor of their choosing to guide and accompany them throughout the campus resolution process. Advisors serve as a moral and emotional support for students during investigations and conduct meetings, and can assist with meeting preparation. The advisor may be any supporter a party chooses to advise them, with exception of a University of Saint Joseph employee who is related to the participant, or any party who may serve as a witness or is otherwise directly involved in the current case. A party may elect to change advisors during the process, and is not locked into using the same advisor throughout, but is only allowed to have one advisor at any

interview or meeting. The University may move forward with its investigation without regard to the availability of advisors.

The parties are entitled to be accompanied by their advisor in all meetings and interviews at which the party is entitled to be present, including intake, interviews, meetings, and appeals. Advisors may help their advisees prepare for each meeting, and are expected to advise ethically, with integrity, and in good faith. The university cannot guarantee equal advisory rights, meaning that if one party selects an advisor who is an attorney, but the other party does not, or cannot afford an attorney, the university is not obligated to provide one.

All advisors must adhere to University policies. Advisors may not speak on behalf of their advisee in a meeting or interview and should request or wait for a break in the proceeding to confer with their advisee or interact with University employees. Furthermore, University employees are under no obligation to respond to inquiries or requests from advisors.

Advisors are expected to refrain from interference with the university investigation and resolution. Any advisor who steps out of their role in any meeting under the University resolution process will be warned once and only once. If the advisor continues to disrupt or otherwise fails to respect the limits of the advisor role, the advisor will be asked to leave the meeting. When an advisor is removed from a meeting, that meeting will typically continue without the advisor present. Subsequently, the appropriate University employee will determine whether the advisor may be reinstated or may be replaced by a different advisor. The University is under no obligation to provide an advisor in replacement of one who is removed.

6. Resolution Agreement Option

If the respondent accepts responsibility for the alleged violation(s), the University will present proposed sanctions to the respondent. If the respondent accepts the sanctions, then those sanctions will be presented in a written decision letter. After acceptance of responsibility and sanctions, the respondent has three business days to reconsider that acceptance and request a conduct meeting. Respondents who do not accept responsibility or the proposed sanctions will have their matter handled through the Student Code of Conduct process or Employee Handbook guidelines. Individuals who accept responsibility and the sanction(s) recommendation cannot appeal the decision.

Should the respondent accept responsibility for all charged violations, but disagree with the proposed sanction, a sanctions-only meeting will be conducted.

7. Student Conduct Process

The Title IX Office will meet with both the complainant and the respondent prior to the conduct meeting to outline the process and answer questions. Prior to the conduct meeting, the Title IX Office will prepare copies of all reports and documentary information to be disseminated to the complainant and respondent before the conduct meeting.

Unless authorized by the Title IX Office, attendance at the Conduct meeting is limited to the respondent, advisor to the respondent (optional), and Conduct officer.

Students needing accommodations may make requests through the Office of Student Accessibility. Parties are not permitted to have audio or video recording devices at conduct meetings.

If any individual should become disruptive during the conduct meeting, the Conduct officer maintains the discretion to remove that individual from the meeting.

At the conclusion of the student conduct meeting, the Conduct officer will deliberate privately to determine the respondent's responsibility for the charged conduct code violations.

The university uses the preponderance of the evidence (also known as "more likely than not") as a standard for proof of whether a violation occurred. In campus resolution proceedings, legal terms like "guilt," "innocence" and "burdens of proof" are not applicable. Resolution proceedings take into account the totality of all evidence available, from all relevant sources.

Where the responding party is found not responsible for the alleged violation(s), the investigation will be closed. Where a violation is found, the university will act to end the discrimination, prevent its recurrence, and remedy its effects on the complainant and the university community. All parties will receive written notification of the outcome, to the extent permitted by or mandated by law. In cases involving sexual misconduct, sexual harassment, stalking and/or intimate partner violence, the written notification includes the finding, any resulting responsive actions, and the rationale for the decision. This written notification of final decision is delivered to the parties without undue delay between the notifications.

The outcome of a conduct meeting is part of the education record of the responding party, and is protected from release under a federal law, FERPA. However, the university observes the legal exceptions as follows:

- Parties to non-consensual sexual contact/intercourse, sexual exploitation, sexual harassment, stalking, and intimate partner violence incidents have an absolute right to be informed of the outcome, essential findings/rationale, and any sanctions that may result, in writing, without condition or limitation, and without substantial delay between notifications to each party.
- Where required by law, the university may release publicly the name, nature of the violation, and the sanction for any University community member who is found in violation of a university policy that is a "crime of violence," including: arson, burglary, robbery, criminal homicide, sex offenses, assault, destruction/damage/vandalism of property, intimate partner violence, stalking, and kidnapping/abduction. In doing so, the university will strive to not release any information that could lead to the identification of the reporting party.

D. Sanctions

The following are possible sanctions for incidents reviewed under this policy:

1. Students found responsible for violating this policy in regard to nonconsensual sexual contact or intimate partner violence will likely receive a sanction ranging from probation to expulsion, depending upon the severity of the incident and any previous violations of the Student Code of conduct.

2. Students found responsible for violating this policy in regard to nonconsensual or forced sexual intercourse will likely receive a sanction of suspension, dismissal or expulsion.
3. Students found responsible for violating this policy in regard to sexual harassment, sexual exploitation, or other gender-based misconduct will likely receive a sanction ranging from an official reprimand/disciplinary warning to expulsion, depending upon the severity of the incident and any previous violations of the Student Code of Conduct.
4. The Conduct officer will sanction students found responsible for violations of the Student Code of Conduct not related to this policy in accordance with sanctions used in the general Student Conduct Process.
5. Employees found responsible for violating this policy will be sanctioned in accordance with the Employee Handbook and/or Faculty Handbook.
6. Students, employees, and volunteers may be required to attend training, counseling, or similar pursuant to a formal or informal resolution to a sexual misconduct complaint.

The University reserves the right to apply sanctions as defined in the Student Procedural Rights Section of the Student Code of Conduct in the USJ Student Handbook. The Conduct officer reserves the right to increase or decrease the recommended sanction guidelines listed above in the case of significant mitigating or aggravating factors. Neither the Conduct officer nor the appeal officer will deviate from the guidelines listed above unless significant mitigating or aggravating factors exist. The Conduct officer also reserves the rights to include additional sanctions, educational or otherwise, in accordance with the general student conduct process.

E. Withdrawal While Charges Pending

University of Saint Joseph does not permit a student to withdraw if that student has an allegation pending for violation of the policy on Equal Opportunity, Harassment, and Nondiscrimination. Should a student decide to leave and/or not participate in the resolution process, the process will nonetheless proceed in the student's absence to a reasonable resolution and that student will not be permitted to return to the university unless all sanctions have been satisfied. The student may not have access to an academic transcript until the allegations have been resolved.

F. Appeals

After receiving notification of the Conduct officer's decision, both the complainant and the respondent have five business days to notify the Title IX Office of their intent to appeal the decision. An appeal form may be obtained from the Title IX Office and a formal letter of appeal specifying the grounds upon which the appeal is based and supporting information must be submitted within five business days of the receipt of the appeal form. The Title IX Office has the discretion to extend the deadline for submission of a letter of appeal.

Sanction(s) imposed by the Conduct officer will remain in effect while the appeal is pending. The letter of appeal specifies the grounds upon which the appeal is based, and how those grounds materially affected the outcome (responsibility or sanctions) of the original meeting.

The letter of appeal must be completed and signed by the student or submitted directly from the student's University email account.

Once the appeal materials are submitted, the other party and the investigator(s) may submit materials in response to the appeal. Other parties will be assigned an appropriate deadline for submission of materials by the Title IX Office or designee. Respondents who fail to attend the conduct meeting forfeit the right to request an appeal.

The accepted grounds for an appeal, by a student or employee, are:

- a. additional and/or new relevant information which was not available at the time of the conduct meeting;
- b. an error in the process or an abridgement of rights, as outlined by this policy, which materially impacted the outcome of the conduct meeting;
- c. the sanction(s) assigned by the committee did not adhere to the sanction guidelines stated in this policy.

The university Title IX Office reviews requests for appeals or designates a university staff member to serve as the appeal officer. If the appeal letter(s) does not bring forward sufficient grounds for appeal, the appeal will be denied and the matter will be closed.

If the Title IX Office determines that the appeal should be considered, the Title IX Officer will assign the appeal to an appeal officer, which can:

- a. affirm the decision of the Conduct officer, in which case the initial decision is final;
- b. remand the matter back to the Conduct officer to make a decision in light of the appeal officer's findings;
- c. initiate a new Title IX conduct meeting.

G. Additional Remedial Action

Following the conclusion of the Conduct Process and in addition to any sanctions implemented, the Title IX Office may utilize long-term remedial actions to stop the harassment or discrimination, remedy its effects, and prevent their reoccurrence. These remedial actions may include, but are not limited to:

- Referral to counseling and health services
- Education to the community
- Permanently altering the housing situation of a resident student
- Providing campus escorts
- Climate surveys
- Policy modification
- Providing transportation accommodations
- Implementing long-term contact limitations between the parties
- Offering adjustments to academic deadlines, course schedules, etc.

At the discretion of the Title IX Office, remedial actions may also be provided even when the responding party is found not responsible.

The university will maintain as confidential any long-term remedies/actions or protective measures, provided confidentiality does not impair the university's ability to provide remedial actions or protective measures.

H. Compliance with Sanctions and Remedial Actions

At the conclusion of the Title IX Conduct process, the Title IX Office will be responsible for ensuring compliance with all assigned sanctions, and implementing accommodations with the goal of preventing the recurrence of sexual and/or gender-based harassment.

I. Records

In implementing this policy, records of all allegations, investigations, resolutions, and meetings will be kept by the Title IX Office in accordance with legal requirements..

VI. Rights of Parties

Rights of Complainants and Respondents in Sexual Misconduct Incidents

Parties to a claim shall have fair and reasonable rights throughout the Title IX sexual misconduct complaint process.

This shall include, but is not limited to:

- The right to an investigation and appropriate resolution of all credible complaints of sexual misconduct and/or harassment made in good faith to the university;
- The right to be treated with respect by university employees throughout the process;
- The right to be notified of available counseling, mental and physical health services on campus and off campus;
- The right to notification of and options for, and available assistance in, changing academic and living situations after an alleged sexual misconduct incident, if so requested by the either party and if such changes are reasonably available (no formal report, or investigation, campus or criminal, need occur before this option is available). Accommodations may include:
 - Change of an on-campus student's housing to a different on-campus location;
 - Assistance from university support staff in completing the relocation;
 - Transportation accommodations;
 - Arranging to dissolve a housing contract and pro-rating a refund;
 - Exam (paper, assignment) rescheduling;
 - Taking an incomplete in a class;
 - Transferring class sections;
 - Temporary withdrawal;
 - Alternative course completion options.
- The right to have the institution maintain such accommodations for as long as deemed is necessary by the Title IX Office, and for protective measures to remain confidential, provided confidentiality does not impair the institution's ability to provide the accommodations or protective measures.
- The right to identify witnesses and other parties, and to request the Title IX Office contact those individuals as part of the investigation; The right to have the university request attendance for individuals called as witnesses for the investigation;
- The right to have an advisor of one's choice present in a support or advisory role during the investigation;
- The right to report the incident to off-campus authorities and/or law enforcement and to be assisted by university staff in doing so, as well as the right not to report to off-campus authorities and/or law enforcement;
- The right to review reports produced by the investigation that will be submitted for a final determination of a violation, subject to limitations provided by law;
- The right to know which provisions of this policy and/or the Student Code of Conduct the respondent student is charged with violating;
- The right to challenge information and documents prior to the conduct meeting;
- The right not to have irrelevant prior sexual history admitted as evidence;
- The right to provide evidence by means other than being in the same room with the responding party;
- The right to make an impact statement to the Conduct officer, should the Conduct officer find the respondent student responsible for violating this policy;

- The right to have individuals involved in the process who are free of demonstrated bias toward either party;
- The right to be informed of the outcome and sanction of a conduct meeting and to receive that decision in writing;
- The right to appeal the finding and sanction of the conduct meeting, in accordance with the appeal guidelines established in this policy;
- The right to be informed in writing of when a decision of the university is considered final, any changes to the sanction to occur before the decision is finalized, to be informed of the right to appeal the finding and sanction of the resolution process, and the procedures for doing so in accordance with the standards for appeal established by the university;
- The right to privacy, to the extent possible and permitted by law, and the assurance that information regarding the complaint will be shared only with those necessary.

VII. Pregnant and Parenting Student Modifications

USJ is committed to creating an accessible and inclusive environment for pregnant and parenting students. Students may request adjustments based on general pregnancy needs or accommodations based on a pregnancy related conditions. Temporary adjustments and accommodation requests should be directed to the Accessibility Coordinator. Students who believe they are being harassed or discriminated against because of pregnancy, or that the university is not complying with Title IX requirements covering pregnancy and parenting, should immediately report these concerns to the Title IX Coordinator or any of the deputies. Schools cannot terminate or reduce athletic, merit or need-based scholarships because of pregnancy. The U.S. Education Departments Office for Civil Rights addressed guidelines in the June 2013 Dear Colleague Letter. Further guidance for students, faculty and administrators is available at, <https://www2.ed.gov/about/offices/list/ocr/docs/pregnancy.pdf>

Revised 10/22/2019
RDW/DLS

CONCISE WRITTEN NOTIFICATION OF RIGHTS AND OPTIONS AFTER A TITLE IX INCIDENT

More Off-Campus Resources	Accommodations	Your Rights and Options After a Title IX Incident	Off-Campus Reporting Options
<p><i>Services available but not limited to</i></p> <ul style="list-style-type: none">• 24-hour hotline• Support groups• Programs for children• Safety planning and domestic violence counseling• Emergency shelter• Court and legal advocacy• Community education and awareness <p>Connecticut Coalition Against Domestic Violence</p> <p><i>If interested in CCADV please contact:</i></p> <p>24-hour Domestic Violence Hotline: (888)774-2900 912 Silas Deane Highway, Lower Level Wethersfield, Connecticut 06109 Main Telephone: (860)282-7899 Toll free (CT only): (800)281-1481 http://www.ctcadv.org/</p> <p>Office of Victim Services</p> <p>(OVS is part of the state court system and provides assistance to victims of crime)</p> <p><i>If interested in OVS, please contact:</i></p> <p>225 Spring Street, Fourth Floor Wethersfield, CT Telephone: 711 or 800.833.8134 http://www.jud.ct.gov/crimevictim/</p>	<p>The right to notification of options for available assistance after an alleged sexual misconduct incident (no formal report, or investigation, campus or criminal, need occur before this option is available).</p> <p>Accommodations may include:</p> <ul style="list-style-type: none">• Change of an on-campus student's housing to a different on-campus location• Transportation accommodations• Arranging to dissolve a housing contract and pro-rating a refund• Exam, paper or assignment rescheduling• Taking an incomplete in a class• Transferring class sections• Temporary withdrawal• Alternative course completion options <p>You have the right to have the institution maintain such accommodations for as long as is necessary, and for protective measures to remain confidential, provided confidentiality does not impair the institution's ability to provide the accommodations or protective measures.</p>	<p>Your Rights and Options After a Title IX Incident</p> <p><i>Office of Title IX and Diversity and Inclusion</i></p>	<p>Police Departments & Local Hospitals</p> <ul style="list-style-type: none">• West Hartford or Hartford Police Departments: Call 911• Saint Francis Hospital Emergency Department: 860.714.4000• Hartford Hospital Emergency Department: 860.545.0000 <p>Additional Resources:</p> <ul style="list-style-type: none">• Confidential Rape, Abuse and Incest National Network Crisis Hotlines: 800.656.HOPE or online: onlinrain.org/online• Burdord Health Center, Hartford STD Clinic (No appointment necessary, Fee for service.) 860.757.4830 or 860.757.4772 131 Coventry Street, 1st Floor Hartford, CT 06112 <p>Off-Campus Resources:</p> <p>Connecticut Alliance to End Sexual Violence</p> <p><i>Free & Confidential Services Available:</i></p> <ul style="list-style-type: none">• Information and referral• Advocacy for children and non-abusing parent• Short-term counseling for complainants and their family and/or friends• Support groups• Community education and prevention programs dealing with sexual assault issues, safety concerns, etc. <p>If interested in CT Alliance please contact: The 24-hour Hotline: 888.999.5545 Espanol: 888.568.8332 Main Telephone: 860.282.988 196 Pitkin Street East Hartford, CT 06108 info@endsexualviolencect.org</p>



Process and Procedural Rights You have the RIGHT to:	On-Campus Resources & Reporting Options	Confidentiality Statement
<ul style="list-style-type: none">• An investigation and appropriate resolution of all credible complaints of sexual misconduct, gender-based discrimination, and/or harassment made in good faith to the University• Report the incident to off-campus authorities and/or law enforcement and to be assisted by University staff in doing so, as well as the right not to report to off-campus authorities and/or law enforcement• Be treated with respect by University staff throughout the process• Be notified of available counseling and mental and physical health services on-campus and off-campus• Identify witnesses and other parties, and to request the Title IX Coordinator or designee contact those individuals as part of the investigation• Have the University request attendance for individuals called as witnesses for the investigation• Have an advisor of your choice present in a support or advisory role during the investigation• Review reports produced by the investigation that will be submitted for a final determination of a violation, subject to limitations provided by law• Know which provisions of the Student Code of Conduct the respondent student is charged with violating• Challenge information and documents prior to the conduct meeting	<p>Title IX Coordinator Rayna Dytton-White Director of Diversity & Inclusion Lourdes Hall rdyttonwhite@usj.edu Phone: 860.231.5487</p> <p>Deputy Title IX Coordinators Deborah Spencer Director of Human Resources Office of Human Resources, Mercy Hall dspencer@usj.edu Phone: 860.231.5390</p> <p>Confidential On-Campus Resource Health Services and the Counseling and Wellness Center 860.231.5530</p> <p>Additional Resources Campus Safety provides escorts for any student crossing campus in the evening hours. Call 860.231.5222 to request this service. **Emergency phones can be found throughout campus.**</p> <p>Anonymous Reporting • Public Safety Tip Line: 860.231.5742 • Ethics Point Phone: 855.297.5106 Website: https://secure.ethicspoint.com/domain/media/en/gui/33024/index.html</p> <p>Employee Assistance Program 800.327.1850 TDD: 800.697.0353 guidanceresources.com Your company Web ID: HLF902</p>	<p>Reports made anonymously or by third parties may or may not initiate grievance procedures. However, Title IX requires the university to investigate all incidents about which the University knows or has reason to know to protect the health and safety of the University community, and The University will investigate issues raised anonymously or by third parties if sufficient information is provided by the reporter to allow such an investigation. Also, the University will undertake an investigation where appropriate, even in cases where the alleged victim and/or complainant chooses not to cooperate or participate. Confidential on-campus resources are available that can share options and advice without any obligation to inform other University staff members unless requested. Health Services and the Counseling and Wellness Center are the only confidential resources on the University of Saint Joseph campus. In addition, there are several off-campus resources that are also confidential. Faculty members and other University staff are not confidential resources and are required to contact the University Title IX Coordinator or the Deputy Coordinator.</p>

Appendix C



UNIVERSITY OF SAINT JOSEPH

CONNECTICUT

EMPLOYMENT POLICY 6: HARASSMENT & DISCRIMINATION

Approved by:	Executive Council
Approval Date:	April 6, 2010; revised January 1, 2017
Responsible Official:	Senior Human Resources Administrator (860) 231-5390
Related Policies:	Nondiscrimination, Equal Employment Opportunity & Diversity Policy; Sexual Misconduct & Title IX Policy; Dating Policy

The University of Saint Joseph community embraces employees of all backgrounds and welcomes, affirms, and encourages their rights to full participation. The University condemns any behavior that makes an employee feel inferior, intimidated, or uncomfortable because of race, color, religious creed, age, sex, gender identity or expression, sexual orientation, transgender status, marital status, national origin, ancestry, disability (including, but not limited to, intellectual disability, present or past history of mental disorder, learning disability, or physical disability), homelessness, or any other characteristic protected by law.

The University of Saint Joseph does not tolerate threats of violence or acts of hatred of any kind and any employee who harasses or discriminates against another person, regardless of protected class status, may be subject to disciplinary action. Behavior or activities that may endanger the physical or mental well-being of others, including idle or real threats, the possession and/or use of firearms, fireworks, hazardous chemicals, and other real or potential weapons are strictly prohibited.

Bullying behaviors are viewed by the University as a form of harassment and will be handled as such. Workplace bullying is on-going behavior that deliberately harms, intimidates, offends, degrades, or humiliates an individual and creates an environment of fear. Examples of harassment and bullying include, but are not limited to, the following:

- being sworn at, shouted at, called names or subjected to other humiliating behaviors;
- unwarranted or invalid criticism, or blame without factual justification;
- being treated differently than the rest of one's work group in a manner that is belittling;
- exclusion or social isolation;
- physical violence such as pushing, shoving or throwing objects.

HARASSMENT & DISCRIMINATION

Personal abuse in any form violates standards of appropriate discourse and civil conduct; substantiated instances of such behavior will result in disciplinary action up to and including termination.

An employee who feels s/he has been the victim of harassment, bullying, violence or discrimination should report the incident to her/his direct supervisor. If s/he does not feel comfortable discussing the incident with the supervisor, s/he should contact the senior Human Resources administrator. All such concerns should be taken to the senior Human Resources administrator, who will initiate an investigation and work with appropriate management to determine appropriate resolution. If the senior Human Resource administrator is not deemed appropriate to handle the presenting issue, the Senior Vice President of Finance & Strategy & Strategy will be the primary contact; if the SVP of Finance & Strategy is not deemed appropriate to handle the presenting issue, the President will be the primary contact.



UNIVERSITY OF SAINT JOSEPH

CONNECTICUT

EMPLOYMENT POLICY 7: SEXUAL MISCONDUCT & TITLE IX

Approved by: Executive Council
Approval Date: April 6, 2010; revised January 1, 2017
Responsible Official: Senior Human Resources Administrator (860) 231-5390
Related Policies: Nondiscrimination, Equal Employment Opportunity & Diversity Policy; Harassment & Discrimination Policy; Dating Policy "

POLICY:

I. Introduction

The University of Saint Joseph has established a policy and procedure to protect the rights of students and employees to be free from unwelcome sexual behaviors and to ensure an environment that is free from sexual and other harassment. The University of Saint Joseph condemns any type of sexual misconduct, including sexual harassment, or other unlawful harassment and encourages those who have been a subject of or are aware of it to report it. Offensive or inappropriate actions, words, jokes, printed material, or comments based on an individual's gender, race, ethnicity, age, religion, sexual orientation, gender identity or expression, disability, or any other legally protected characteristic will not be tolerated.

Employees are prohibited from harassing students and other employees whether or not the incidents of harassment occur on University premises and whether or not the incidents occur during working hours. Employees are also prohibited from harassing non-University employees with whom they come in contact while performing their job as a University employee (e.g., employees of collaborative organizations). Further, any employee who is aware of a University student subject to possible sexual misconduct is required to report their concerns to a designated Title IX Coordinator/Deputy. The names and contact information for current Title IX Coordinator(s)/Deputies are available in a publically accessible area of the University's intranet. Upon receiving a report, the University will respond promptly, equitably and thoroughly, including taking temporary corrective measures pending investigation and resolution. If it is determined that misconduct has occurred, the University will take corrective action and steps to prevent the recurrence of the misconduct.

II. Sexual Harassment

A. Definitions

The University prohibits sexual and other harassment of employees and students in any form. Such conduct may result in disciplinary action up to and including termination.

Sexual harassment is unwanted sexual attention of a persistent or offensive nature. Sexual harassment includes sexually oriented conduct that is sufficiently pervasive or severe to unreasonably interfere with an employee's job performance or create an intimidating, hostile, or offensive working environment. While sexual harassment encompasses a wide range of conduct, some examples of specifically prohibited conduct include:

- Promising, directly or indirectly, an employee or student a reward if the employee or student complies with a sexually oriented request;
- Threatening, directly or indirectly, to retaliate against an employee or student if the employee or student refuses to comply with a sexually oriented request;
- Denying, directly or indirectly, an employee or student an employment-related opportunity, if the employee or student refuses to comply with a sexually oriented request;
- Engaging in sexually suggestive or explicit conversation, body movements, gestures, and so forth that is unwelcome or offensive to any employee or student hearing or witnessing such conduct;
- Engaging in sexually suggestive physical contact or touching another employee or student in a way that is unwelcome;
- Displaying, storing, or transmitting pornographic or sexually oriented materials using University equipment or within University facilities; and
- Making sexual or romantic advances toward an employee or student and persisting despite the employee's/student's rejection of the advances.

These issues constitute sexual harassment when:

- Submission to such conduct is either explicitly or implicitly made a term or condition of an individual's employment or education;
- An individual's submission to or rejection of such conduct is used as the basis of (or is threatened to be used as the basis of) employment or academic decisions affecting that person; and/or
- Such conduct has the purpose or effect of substantially interfering with an individual's work or academic performance or creating an intimidating, hostile, or offensive employment, educational, or living environment.

B. Procedure for Reporting Sexual or Other Harassment & Investigations

Employees who believe they have been subjected to acts of sexual harassment or other unlawful harassment, or who have reason to believe that someone else has been subject to this harassment, should inform their supervisor, their area's senior manager, or the senior Human Resources administrator. Employees and students can raise concerns and make reports without fear of reprisal. Any supervisor or member of management who becomes

aware of possible sexual or other unlawful harassment is required to promptly advise the senior Human Resources administrator and/or a Title IX Coordinator/Deputy Coordinator. If the senior Human Resource administrator is not deemed appropriate to handle the presenting issue, the Senior Vice President of Finance & Strategy will be the primary contact; if the SVP of Finance & Strategy is not deemed appropriate to handle the presenting issue, the President will be the primary contact.

Complaints will be investigated in a timely and impartial manner and, when merited, appropriate disciplinary action will be taken. Confidentiality will be maintained to the extent possible throughout the investigation, with information being shared on a need-to-know basis. All employees and students, whether complainant, alleged perpetrator, or witness, are required to be truthful, accurate, and cooperative during University investigations into allegations of sexual or other harassment. It is a violation of University policy to knowingly make false allegations of sexual or other harassment or provide evidence with the knowledge that it is false.

It is the policy of the University of Saint Joseph that no one will be retaliated against for making a complaint of harassment based upon an honest perception of the events or for cooperating in the investigation of a complaint.

III. Sexual Misconduct, Domestic Violence, Relationship/Dating Violence and Stalking (Title IX)

The University of Saint Joseph believes in the intrinsic value of all human beings. Moreover, it is committed to the full, peaceable participation of all of its members in the educational endeavor it fosters. The University is committed to maintaining a learning and living environment which is fair and respectful and will not tolerate any threats or acts of sexual harassment, sexual assault or sexual violence which are all a form of sex discrimination. Any reports of such behavior or action should be made to the university's Title IX Coordinator/Deputy Coordinators; currently these include the Director of Human Resources, the Director of Public Safety (deputy), and the Assistant Dean of Student Leadership Development (deputy). The names and contact information for current Title IX Coordinator/Deputies are available in a publically accessible area of the University's intranet.

A. Definition of Terms

Sexual misconduct is a broad term covering a range of behaviors including sexual assault, sexual harassment, intimate partner violence, stalking, voyeurism, sexual exploitation, and any other conduct of a sexual nature that is nonconsensual, or has the purpose or effect of threatening, intimidating, or coercing a person. Sexual misconduct may involve nonconsensual sexual contact, but this is not a necessary component. Both men and

women are protected from sexual misconduct, and sexual misconduct is prohibited regardless of the sex of the harasser.

Sexual assault / sexual violence is a sexual act committed or attempted against one's will. It includes a range of acts from unwelcome sexual touching to forced sexual intercourse. Sexual assault refers to any sexual act without the explicit consent of the recipient.

Stalking involves any behaviors or activities occurring on more than one occasion that collectively instill fear in the victim and/or threaten her/his safety, mental health, and/or physical health. Such behaviors or activities may include, but are not limited to non-consensual communications (face to face, telephone, e-mail), threatening or obscene gestures, surveillance, or showing up outside the targeted individual's classroom or workplace.

Intimate Partner Violence is any physical or sexual harm against an individual by a current or former spouse or person in a dating relationship with such individual that results from any action by such spouse or such person that may be classified as a sexual assault, stalking, or domestic/family violence. Intimate Partner Violence includes a pattern of verbal, emotional and/or physical behavior in an intimate relationship that is used to establish power and control over another person through fear and intimidation. A pattern of behavior is typically determined based on the repeated use of words and/or actions and inactions in order to demean, intimidate, and/or control another person.

Domestic Violence is a pattern of abusive behavior in any relationship that is used by one partner to gain or maintain power and control of another, whether an intimate partner or non-intimate co-habitant. Domestic violence can be physical, sexual, emotional, economic, or psychological actions or threats of actions that influence another person. This includes any behaviors that intimidate, manipulate, humiliate, isolate, frighten, terrorize, coerce, threaten, blame, hurt, injure, or wound someone. The state of Connecticut (P.A. 16-106) defines domestic/family violence as an event between family or household members that either causes physical injury, or creates fear that physical injury is about to happen. Family or household members include people who are related, people who are, or were, married, people who live together, people who have a child together, and people who are, or were recently, in a dating relationship.

Coercion is unreasonable pressure for sexual activity. Coercion is the use of emotional manipulation to persuade someone to do something they may not want to do such as being sexual or performing certain sexual acts. Being coerced into

having sex or performing sexual acts is not consenting to having sex and is considered sexual misconduct.

Affirmative Consent is defined as active, clear, and voluntary agreement by an individual of legal age to engage in sexual activity with another person (CT P.A. 16-106). Silence, on its own, cannot be interpreted as consent. Neither relationship nor prior permission implies future consent. Consent cannot be obtained from someone who is asleep or otherwise mentally or physically incapacitated due to alcohol, drugs, or some other condition. A person is mentally or physically incapacitated if s/he lacks the ability to make or act on considered decisions to engage in sexual activities. Affirmative consent may be revoked at any time during the sexual activity by any person engaged in such activity. It is the responsibility of each person to ensure s/he has the affirmative consent of all persons engaged in sexual activity to engage in the sexual activity and that the affirmative consent is sustained throughout the sexual activity.

Force may include, but is not limited to, the use or display of a weapon, physical immobilization, threats, intimidation or coercion. Another example of force is psychological pressuring or any attempt to take advantage sexually of an individual under duress or incapable of making a decision on his or her own. This includes situations in which an individual is under the influence of alcohol, drugs, or otherwise physically incapacitated.

Bystander Intervention is defined by the state of Connecticut as the act of challenging the social norms that support, condone or permit sexual assault, stalking and intimate partner violence (P.A. 16-106). University employees are encouraged to undertake bystander intervention actions when they are able to do so in a manner that is safe for all concerned. Examples of Bystander Intervention include:

- Asking if someone is alright;
- Distracting one or both people involved;
- Encouraging someone to seek help from appropriate resources, such as the Student Counseling Center.

B. Reporting Requirements

Any member of the University of Saint Joseph community who has experienced or is aware of sexual misconduct, harassment, assault, domestic violence, and/or stalking, on or off campus, is encouraged to contact one of a Title IX Coordinator/Deputy and/or Public Safety.

Responsible Employees: The University of Saint Joseph defines “Responsible Employee” as *“any University employee who is not a Confidential Employee.”* Responsible Employees

are required to report to the University's Title IX Coordinator/Deputy all relevant details of which they have knowledge about an incident of alleged sexual misconduct involving any USJ student.

Responsible Employees are responsible for:

- Communicating to students who are considering disclosing or have disclosed information about alleged sexual misconduct that, as Responsible Employees, they are required to report such information to the University's Title IX Coordinator/Deputy;
- Reporting information they receive about alleged sexual misconduct promptly to the University's Title IX Coordinator/Deputy;
- Completing all applicable University training on reporting requirements and staying current on training requirements; and
- Contacting the University's Title IX Coordinator/Deputy with questions or concerns.

A Responsible Employee may not honor a reporting student's request for confidentiality. The Responsible Employee *must* report all information disclosed to her or him regarding the alleged misconduct; however, s/he should inform the student that s/he will make the student's request for confidentiality known to the University.

Confidential Employees: "Confidential Employees" are those who are legally granted privileged communication and are not required to report sexual misconduct involving students to a Title IX Coordinator. Currently, these include employees in the Counseling and Wellness Center, Student Health Services, and the campus Chaplain. Additionally, community members can seek out assistance from an off-campus crisis center, which can maintain confidentiality. Faculty members and other University staff are *not* confidential resources and are required to contact a University Title IX Coordinator/Deputy.

C. Complaint Response and Investigation

The University will investigate all incidents about which the University knows to protect the health and safety of the University community, including issues raised anonymously or by third parties. Similarly, the University will undertake an investigation where appropriate even in cases where the alleged victim and/or complainant choose not to cooperate or participate. Reported issues will be investigated in a timely manner and consistent with the appropriate procedures detailed in relevant documents, including the Employee Handbook and/or the Student Handbook.

Consistent with Connecticut state law (P.A. 16-106):

- The investigation and any disciplinary proceedings shall be conducted by an official trained annually in issues relating to sexual assault, stalking and intimate partner violence and shall use the preponderance of the evidence standard in making a determination concerning the alleged assault, stalking or violence.
- Both the student or employee who reports or discloses the alleged assault, stalking or violence and the student responding to such report or disclosure (i) are entitled to

be accompanied to any meeting or proceeding relating to the allegation of such assault, stalking or violence by an advisor or support person of their choice, provided the involvement of such advisor or support person does not result in the postponement or delay of such meeting as scheduled, and (ii) shall have the opportunity to present evidence and witnesses on their behalf during any disciplinary proceeding.

- Both the student or employee reporting or disclosing the alleged assault stalking or violence and such responding student are entitled to be informed in writing of the results of any disciplinary proceeding not later than one business day after the conclusion of such proceeding.
- The University shall not disclose the identity of any party to an investigation or disciplinary proceeding, except as necessary to carry out the investigation or disciplinary proceeding or as permitted under state or federal law.
- A standard of affirmative consent will be used in determining whether consent to engage in sexual activity was given by all persons who engaged in the sexual activity.

Investigations will be conducted with due regard for the privacy of those involved. Only people who have a need to know about the issue will be informed, and materials and information prepared or acquired under Title IX procedures will be shared only as necessary with investigators, witnesses and other relevant parties. Disclosure of such information also may be made if the University Title IX Coordinator determines that such disclosure is necessary to protect the health, safety or well-being of community members. While the University Title IX Coordinator will take into account any requests made by a grievant for confidentiality or that a Title IX matter not be investigated, the University Title IX Coordinator will take appropriate steps to respond to the matter consistent with requirements of Title IX and the University's obligation to the greater University of Saint Joseph community.

Retaliation against any person in the University community for alleging a violation of Title IX or for cooperating in any investigation, proceeding or hearing relating to an alleged violation of Title IX is strictly prohibited and may result in disciplinary action, including additional interim or permanent measures. Any concerns regarding retaliation should be addressed immediately with a University Title IX Coordinator/Deputy Coordinator.

Where there is sufficient evidence to conclude that sexual misconduct has occurred, the resolution for a student respondent is described in the current Student Handbook. The resolution for an employee respondent will be consistent with section VI.C of the Employee Handbook.

The complaint and grievance procedures contained herein have been developed to enable the University to receive, investigate and resolve complaints of discrimination on the basis of gender, including sexual harassment and other sexual misconduct. These procedures are designed to provide a supportive process for individuals who report discrimination and to ensure a fair process for individuals who are accused of discriminatory conduct. Any University of Saint Joseph student, faculty or staff member who believes that s/he has been subjected to discrimination based upon gender in any University program or activity or that

s/he has been subjected to sexual misconduct may bring such concerns to the attention of a University's Title IX Coordinator/Deputy Coordinator to obtain a prompt and equitable resolution.

The U.S. Department of Education, Office for Civil Rights (OCR) is the federal agency charged with enforcing compliance with Title IX. Information regarding OCR can be found at:

Office for Civil Rights, 400 Maryland Avenue, SW
Washington, DC 20202-1100
Customer Service Hotline: 800-421-3481 •
Facsimile: 202-453-6012 • TDD: 877-521-2172
Email: OCR@ed.gov • Website: www.ed.gov/ocr

Appendix E



UNIVERSITY OF SAINT JOSEPH

CONNECTICUT

EMPLOYMENT POLICY 8: DATING POLICY

Approved by:	Executive Council
Approval Date:	April 6, 2010; updated January 1, 2015
Responsible Official:	Senior Human Resources Administrator (860) 231-5390
Related Policies:	Sexual Misconduct & Title IX Policy; Harassment & Discrimination Policy http://policies.cua.edu/employment/retirement.cfm

I. Co-Worker Dating

Romantic or sexual relationships between co-workers have the potential to be disruptive to the work environment and are strongly discouraged. Co-workers who do not have a supervisory relationship to each other and who do choose to enter into a romantic or sexual relationship are required to maintain that relationship separate and apart from their employment responsibilities. If the personal relationship interferes with the performance of either employee or otherwise interferes with an effective work environment, management may take all steps that it, in its discretion, deems appropriate, including but not limited to disciplinary action and mandatory reassignment.

II. Supervisor-Employee Dating

A romantic or sexual relationship, even if welcome, between a supervisor who may be in a position to exercise power or authority over the employee or may be perceived as having such power or influence constitutes unprofessional and unethical conduct on the part of the supervisor. Accordingly, the University prohibits such relationships between employees and any member of management in a direct line of responsibility between the employee and the President (the employee's supervisor, the supervisor's supervisor, etc.). Furthermore, a romantic or sexual relationship, even if welcome, between any employee in a supervisory role and any other employee is inappropriate and is strongly discouraged because such a relationship may be harmful to the interests of the employee(s) involved, unfair to other employees and detrimental to an effective, professional working environment.

If a romantic or sexual relationship develops between any employee and any member of management, it is the responsibility and mandatory obligation of the employee with a supervisory/management role to promptly disclose the existence of the relationship to the employee's department senior manager and to the senior Human Resources administrator. The employee may make the disclosure as well, but the burden of doing so is upon the supervisory/management employee.

The department senior manager will inform the relevant Vice President(s), senior Human Resources administrator and others with a need-to-know, generally including the employee's direct supervisor. Management may take all steps that it, in its discretion, deems appropriate, up to and including termination of the supervisory/management employee. At a minimum, the employee and supervisor/manager will not thereafter be permitted to work together on the same matters, and the supervisor/manager must withdraw from participation in activities or decisions (including, but not limited to, hiring, evaluations, promotions, compensation, work assignments, and discipline) that may reward or disadvantage any employee with whom the supervisor/manager has or has had such a relationship.

Any person who believes that s/he has been adversely affected by such a relationship, notwithstanding its disclosure, is encouraged to make her/his views about the matter known to the Human Resources department or a department senior manager.

III. Employee-Student Dating

Undergraduate Students:

Romantic or sexual relationships between employees and students in the undergraduate Women's College are prohibited. An employee who is in a romantic or sexual relationship with a woman applying for admission to the undergraduate Women's College must disclose this relationship to the senior administrator of Human Resources and/or the Dean of Students, who will determine the appropriate course of action.

Adult Learners and Graduate Students:

A romantic or sexual relationship, even if welcome, between an employee (faculty or staff) who may be in a position to exercise power or authority over a student in the Adult Learner or graduate programs or may be perceived as having such power or influence constitutes unprofessional and unethical conduct on the part of the employee and is strictly prohibited.

Furthermore, a romantic or sexual relationship, even if welcome, between any employee and any student in the Adult Learner or graduate programs is strongly discouraged because

such a relationship may be harmful to the interests of the student involved, unfair to other students and detrimental to the academic process.

If a romantic or sexual relationship develops between any employee and a student in the Adult Learner or graduate program, it is the responsibility and mandatory obligation of the employee to promptly disclose the existence of the relationship to the employee's department senior manager. The student may make the disclosure as well, but the burden of doing so is upon the employee. The department senior manager is required to inform the appropriate Vice President(s), relevant department senior manager(s), senior Human Resources administrator and others with a need-to-know, generally including the employee's direct supervisor. Management may take all steps that it, in its discretion, deems appropriate, up to and including termination of the employee.

Any person who believes that s/he has been adversely affected by such a relationship, notwithstanding its disclosure, is encouraged to make her/his views about the matter known to the Human Resources department or Title IX Coordinator/Deputy.

Appendix F

University of Saint Joseph Sexual Assault, Domestic Violence and Stalking Awareness, Prevention and Risk Reduction Activities 2019 Calendar Year

Dept.	Date	Program Title	Audience	Presenter	Type of Programming
TIX	4/10	What Were you Wearing	Campus and Public	Rayna White	Awareness Program
O	1/15	A Time to Act	TIX Coordinators	CT Alliance to End SV	TIX Education
TIX/AT	1/28	Athletics Training	Student Athletes	Rayna White	Training
TIX/SA	2/7	Black AIDS Awareness Day	Students	TIX and Black Student Union	Awareness and Risk Reduction
TIX	2/22	Classroom Presentation	Students	Rayna White	Education
HR	3/14	Quarterly Mandatory trainings	New and current employees	HR	Orientation and Refresher
HR	6/5	Quarterly Mandatory Trainings	New and current Employees	HR	Orientation and Refresher
HR	8/27	Quarterly Mandatory Trainings Replaced by EverFI modules	New and current Employees	HR	Orientation and Refresher
HR	12/05	Quarterly Mandatory Trainings Replaced by EverFI modules	New and current Employees	TIX	Orientation and Refresher
TIX/HR	3/19	Transgender Awareness	Administrators	TIX	Professional Dev
TIX/SA	3/5	Transgender Awareness	Student Affairs/Athletics	Consultant Kamora Herrington	Training
TIX	3/21	Cultural Comp responses to SA/IPV disclosures	Employees	Grt. Htfd Fam Clin. Lisa Murphy, LCSW	Training
TIX	3/28	TIX Trivia!!	Residents	TIX/RA Staff	Education

TIX	4/11	Take Back the Night	USJ community	TIX/ CWC	Awareness
TIX	4/23/	Office of Student Affairs Title IX training	Student Affairs employees	Rayna White	Prof Dev
TIX/SA	5/2	Orientation Leader Training	Student Orientation Leaders	Rayna White	Training
TIX/SA	6/28	Human Trafficking Panel	Nursing Students	Rayna White	Education/Training
TIX/PS	11/12-14	First Responders Training	Public Safety	Rayna White	Training
TIX/SA	10/23	Tabling: CT Alliance New Britain SACS	USJ Community	TIX	Awareness
TIX	October	Red Flag Campaign	Campus Wide	TIX	Awareness Campaign
TIX	Jan-May	Sexual Misconduct Climate Survey	Campus Wide	TIX	Climate Survey

KEY:

ADV – Advisement

AT- Athletics

CWC - Counseling and Wellness Center

HR – Human Resources

HS – Health Services

ORL - Office of Residential Life

PS- Public Safety

SA - Student Affairs

O-Other/Outside Org

***New Employee Trainings Include:**

- Harassment, Discrimination & Bullying Awareness and Avoidance
- Sexual Misconduct & Title IX
- FERPA/Student Confidentiality
- Safety on Campus
- Recognizing & Responding to Students in Distress

Appendix G

Incidents Reported to the University and Final Outcomes for the 2019 Calendar Year

	University of Saint Joseph (West Hartford & Pharmacy Campus)						
	Student				Employee		
Type	Number of Incidences Reported	Number of Confidential/ Anonymous Reports	Number of Disciplinary Cases	Outcome	Number of Incidences Reported	Number of Confidential/ Anonymous Reports	Number of Disciplinary Cases
Sexual Assault		1	0*				0*
IPV			0*				0*
Stalking			0*				0*
Other related	1		1	Resolution Agreement			0*

*In all cases the respondents were non-students, or the reporting party requested an informal resolution with no disciplinary action taken against the respondent.